| Electronic Acknowledgement Receipt   |   |  |  |  |  |
|--------------------------------------|---|--|--|--|--|
| EFS ID:                              | 2694521   |  |  |  |  |
| Application Number:                  | 10657456  |  |  |  |  |
| International Application Number:    |   |  |  |  |  |
| Confirmation Number:                 | 9809  |  |  |  |  |
| Title of Invention:                  | Method and system for underwriting and servicing financial accounts |  |  |  |  |
| First Named Inventor/Applicant Name: | Michael Hattersley  |  |  |  |  |
| Customer Number:                     | 30349   |  |  |  |  |
| Filer:                               | Seong-Kun Oh/Michael Chen   |  |  |  |  |
| Filer Authorized By:                 | Seong-Kun Oh  |  |  |  |  |
| Attorney Docket Number:              | GP-03-01  |  |  |  |  |
| Receipt Date:                        | 09-JAN-2008   |  |  |  |  |
| Filing Date:                         | 08-SEP-2003   |  |  |  |  |
| Time Stamp:                          | 18:59:50  |  |  |  |  |
| Application Type:                    | Utility under 35 USC 111(a)   |  |  |  |  |
| Payment information:                 |   |  |  |  |  |

| Submitted with Payment                   | yes         |
|--|-------------|
| Payment Type                             | Credit Card |
| Payment was successfully received in RAM | \$525       |
| RAM confirmation Number                  | 3556        |
| Deposit Account                          |             |
| Authorized User                          |             |

## File Listing:

| Document<br>Number | Document Description | File Name | File Size(Bytes) /Message Digest | Multi<br>Part /.zip | Pages<br>(if appl.) |
|--------------------|----------------------|-----------|----------------------------------|---------------------|---------------------|
|--------------------|----------------------|-----------|----------------------------------|---------------------|---------------------|

| 1                        |   | GP-03-01-Amendment.pdf                       | 101974                                       | yes  | 9 |  |  |  |  |
|--------------------------|---|--|--|------|---|--|--|--|--|
|                          |   |  | 4b0b4ff09ddce2acc0d47b0d6b045d49<br>37c4ff58 | •    |   |  |  |  |  |
|                          | Multipart Description/PDF files in .zip description |  |  |      |   |  |  |  |  |
|                          | Document Description                                |  | Start  | End  |   |  |  |  |  |
|                          | Amendment - After Non-Final Rejection               |  | 1  | 1    |   |  |  |  |  |
|                          | Claims  |  | 2  | 5    |   |  |  |  |  |
|                          | Applicant Arguments/Remarks Made in an Amendment    |  | 6  | 9    |   |  |  |  |  |
| Warnings:                |   |  |  |      |   |  |  |  |  |
| Information:             |   |  |  |      |   |  |  |  |  |
| 2                        | 2 Extension of Time                                 | GP-03-01-Extension-of-Time<br>.pdf           | 475519                                       | no   | 2 |  |  |  |  |
| _                        |   |  | 5452fd1b4d408b862fabca027cddccdb6<br>eb3f879 |      |   |  |  |  |  |
| Warnings:                |   |  |  |      |   |  |  |  |  |
| Information:             |   |  |  |      |   |  |  |  |  |
| 3 Miscellaneous Inco     | Miscellaneous Incoming Letter                       | GP-03-01-General-Transmitt<br>al.pdf         | 78238  | no   | 2 |  |  |  |  |
| ,                        | 3 Wiscenaneous incoming Letter                      |  | 983e415b99ea075665b5ed57c8c2884<br>e0c938bdb |      |   |  |  |  |  |
| Warnings:                |   |  |  |      |   |  |  |  |  |
| Information:             |   |  |  |      |   |  |  |  |  |
| 4 Fee Worksheet (PTO-06) | Fee Worksheet (PTO-06)                              | fee-info.pdf                                 | 8154   | no   | 2 |  |  |  |  |
|                          | 100 iiiio.pai                                       | 951610c3f7119dd20302ff6b84afe5b83<br>781a828 | 110  | 2    |   |  |  |  |  |
| Warnings:                |   |  |  |      |   |  |  |  |  |
| Information:             |   |  |  |      |   |  |  |  |  |
|                          |   | Total Files Size (in bytes):                 | : 66   | 3885 |   |  |  |  |  |

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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.